

8 Secty

100

**TRANSMITTAL  
FORM**

AUG 06 2001  
PATENT & TRADEMARK OFFICE  
ALL CORRESPONDENCE BEING  
MAILED

		Application Number	09/800767
		Filing Date	03/08/2001
		First Named Inventor	Tony L. Hansen et al
		Group Art Unit	
		Examiner Name	
Total Number of Pages in this Submission	17	Attorney Docket Number	2000-0164

**Enclosures (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits / Declaration(s) <input checked="" type="checkbox"/> Petition for Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Drawing(s) & Letter to Official Draftsman <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional enclosure(s) (please identify below)
Declaration and Power of Attorney		
Remarks		

**CORRESPONDENCE ADDRESS**

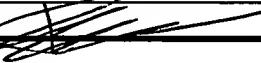
Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

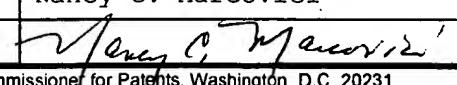
NAME	Samuel H. Dworetzky			
ADDRESS	AT&T CORP. P.O. Box 4110			
CITY	Middletown	STATE	New Jersey	ZIP CODE
COUNTRY	United States of America			

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Benjamin S. Lee	Reg. #	42787
TELEPHONE	908-221-5438		
SIGNATURE			DATE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 8/1/2001

Type or Printed Name	Nancy C. Marcovici		
Signature		Date	8/1/2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



# FEE TRANSMITTAL

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$2,206

		Complete Known
Application Number	09/800767	
Filing Date	03/08/2001	
First Named Inventor	Tony L. Hansen et al	
Examiner Name		
Group/Art Unit		
Attorney Docket No.	2000-0164	

## METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
Deposit Account Name AT&T CORP.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

## FEE CALCULATION

## 1. FILING FEE

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	710	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	710
106	320	Design Filing Fee	
108	710	Reissue Filing Fee	
114	150	Provisional Filing Fee	

SUBTOTAL (1) 710

2. CLAIMS  Filing Under 37CFR 1.53 (b)  
 CPA Under 37CFR 1.53 (d)  
 Amendment

Extra Claims	Fee from below	Fee Paid
Total 72 - 20 =	52 x 18 =	936
Ind. 3 - 3 =	0 x 80 =	0
Multiple Dependent Claims		0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent Claims in excess of 3
104	270	Multiple Dependent Claims
109	80	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 936

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	390
117	890	Extension for reply within third month	
118	1390	Extension for reply within fourth month	
128	1890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1240	Petition to revive – unintentional	
142	1240	Utility issue fee (or reissue)	
143	440	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	40
146	710	Filing a submission after final rejection(37 CFR 1.129(a))	
149	710	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

SUBTOTAL(3) 560

## SUBMITTED BY

Typed or Printed Name

Benjamin S. Lee

Complete (if applicable)

Reg. Number 42787

Signature

Date

8/11/2001

Deposit Account User ID



*Original*  
11/17/2001

UNITED STATES PATENT AND TRADEMARK OFFICE

BSL Page 1 of 1 #3

2000-0164

THJ/CAF

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,767	03/08/2001	Mark A. Jones	3493.00058

**RECEIVED**

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

APR 20 2001

BANNER & WITCOFF LTD.

CONFIRMATION NO. 3904

FORMALITIES LETTER



\*OC000000005976695\*

Date Mailed: 04/17/2001

Due: 6/17/01

03493.00058  
DOCKET#

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

APR 20 2001 *AM*

FILED UNDER 37 CFR 1.53(b)

Response Due 17 JUN 2001  
(last day) 17 NO 2001

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$936.
  - \$936 for 52 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1776.

09800767

00000079 012745

710.00 CH  
936.00 CH  
130.00 CH

05/09/2001 0CHAU1  
05/FC101  
05/FC103  
05/FC105  
04/FC104  
04/FC105

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Applicant(s): Tony L. Hansen et al  
Attorney Docket No.: 2000-0164  
Application No.: 09/800767  
Filing Date: 03/08/2001  
Examiner Name:  
Group Art Unit:  
Title: XML In The MASP Client-Server Protocol

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date:

Aug. 1, 2001

By:

Benjamin S. Lee

Attorney for Applicant(s)

Reg. No.: 42787

T: 908-221-5438

F: 732-368-6932

AT&T CORP.

P.O. Box 4110

Middletown, New Jersey 07748-4110